

Form 603
Corporations Act 2001
Section 671B
Notice of initial substantial holder

To: **Company Name/Scheme:** SHEFFIELD RESOURCES LIMITED

ACN/ARSN: 125 811 083

1. Details of substantial holder

Name **Mitsubishi UFJ Financial Group, Inc.**

The holder became a substantial holder on: **2 August 2019**

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully Paid ordinary shares	14,654,879	14,654,879	5.58%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	718,102 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	401,356 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Carol Australia Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in Carol Australia Holdings Pty Limited.	13,535,421 Fully paid ordinary shares

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		401,356 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Unknown		718,102 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)		13,535,421 Fully paid ordinary shares

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

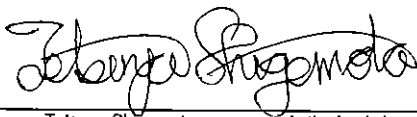
Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

8. Signature



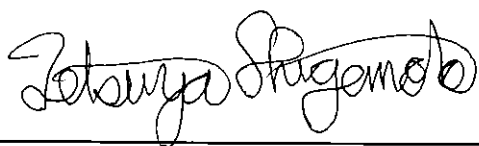
Dated 8 August 2019

Tetsuya Shigemoto

Authorised signatory

Annexure A

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

SCHEDULE

MUFG Bank, Ltd.
Mitsubishi UFJ Trust and Banking Corporation
Mitsubishi UFJ Securities Holdings Co., Ltd.
MU Business Engineering, Ltd.
The Mitsubishi UFJ Factors Limited
Mitsubishi UFJ Jinji Service Co., Ltd.
MU Techno-service Co., Ltd.
Tokyo Credit Service, Ltd.
Tokyo Associates Finance Corp.
MU Business Service Co., Ltd.
Mitsubishi UFJ Loan Business Co., Ltd.
Mitsubishi UFJ Information Technology, Ltd.
MU Center Service Tokyo Co., Ltd.
MU Center Service Nagoya Co., Ltd.
MU Center Service Osaka Co., Ltd.
MU Business Aid Co., Ltd.
MU Property Reserch Company Limited
Mitsubishi UFJ Home Loan CREDIT CO., LTD.
The Diamond Home Credit Company Limited
Mitsubishi UFJ Reserch & Consulting Co., Ltd.
MU Frontier Servicer Co., Ltd.
Otemachi Guarantee Co., Ltd.
GD Holdings Company Limited
MU Loan Administration Support Co., Ltd.
MU Communications Co., Ltd.
Japan Electronic Monetary Claim Organization
MU Business Partner Co., Ltd.
MUT Business Outsourcing Co., Ltd.
Mezzanine Solution II Limited Partnership
Mezzanine Solution III Limited Partnership
Mitsubishi UFJ Capital Co., Ltd.
Mitsubishi UFJ Capital III, Limited Partnership
Mitsubishi UFJ Capital IV, Limited Partnership
Mitsubishi UFJ Capital V, Limited Partnership
Mitsubishi UFJ Capital VI, Limited Partnership
Mitsubishi UFJ Capital VII, Limited Partnership
Mitsubishi UFJ Life Science 1, Limited Partnership
Mitsubishi UFJ Life Science 2, Limited Partnership
Tohoku Senary Industry Support, Limited Partnership
OiDE Fund Investment Limited Partnership
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.
Mitsubishi UFJ Financial Partners Co., Ltd.
The Mitsubishi Asset Brains Company, Limited
BOT Lease Co., Ltd.
The Chukyo Bank, Ltd.
Nippon Mutual Housing Loan Co., Ltd.
JM Real Estate Co., Ltd.
Jibun Bank Corporation
JACCS CO., LTD.

JALCARD Inc.
 Yume Shokei Fund No.2
 Yume Shokei Fund No.3
 Marunouchi Capital Fund II Limited Partnership
 M·U·Trust Sougou Kanri Co., Ltd.
 Mitsubishi UFJ Trust Business Co., Ltd.
 Ryoshin Data Co., Ltd.
 Mitsubishi UFJ Trust Systems Co., Ltd.
 Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.
 Mitsubishi UFJ Trust Hosyo Co., Ltd.
 M·U·Trust·Apple Planning Company, Ltd.
 Mitsubishi UFJ Real Estate Services Co., Ltd.
 Mitsubishi UFJ Daiko Business Co., Ltd.
 The Master Trust Bank of Japan, Ltd.
 MU Investments Co., Ltd.
 Japan Shareholder Services Ltd.
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.
 Tokumei-Kumiai (CPI)
 Tokumei-Kumiai (GII)
 Tokumei-Kumiai (Gate Bridge 1)
 Tokumei-Kumiai (CENTOMILIARDO)
 Tokumei-Kumiai (MEET)
 Tokumei-Kumiai (FIELD)
 Ippan Shadan Houjin Leone
 Jointly Managed Monetary Trust Fund (Fund Number:550001)
 MU Trust Property Management Co., Ltd.
 Tokumei-Kumiai (RICE)
 TOKKINGAI (Fund Number.900338)
 TOKKINGAI (Fund Number.900327)
 TOKKINGAI (Fund Number.900344)
 AMP Capital Investors KK
 MM Partnership
 MUS Information Systems Co., Ltd.
 MUS Business Service Co., Ltd.
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.
 kabu.com Securities Co., Ltd.
 Morgan Stanley MUFG Securities Co., Ltd.
 Mitsubishi UFJ NICOS Co., Ltd.
 Card Business Service Co.,Ltd.
 MU NICOS Business Service Co., Ltd.
 MU NICOS Credit Co.,Ltd.
 Ryoshin DC Card Company Ltd.
 JMS Co., Ltd.
 Paygent Co., Ltd.
 JA Card Co., Ltd
 ACOM CO., LTD.
 IR Loan Servicing, Inc.
 MU Credit Guarantee Co., Ltd.
 Japan Digital Design, Inc.
 Crowd Money Inc.
 MUMEC Visionary Design, Ltd.
 Global Open Network, Inc.
 Global Open Network Japan, Inc.
 MUFG Innovation Partners Co., Ltd.
 MUFG Innovation Partners No.1 Investment Partnership
 Mitsubishi Research Institute DCS Co.,Ltd.
 HR Solution DCS Co.,Ltd.
 Mitsubishi UFJ Lease & Finance Company Limited
 Hitachi Capital Corporation
 Nihombashi TG Jigyo Kyodo Kumiai
 NIHOMBASHI TG SERVICE CO., LTD.
 SHIN-NIHOMBASHI TSUSHO CO., LTD.
 Solution Design Co.,Ltd.
 Nippon Record Keeping Network Co., Ltd.
 Banco MUFG Brasil S.A.
 9808680 Canada Inc.

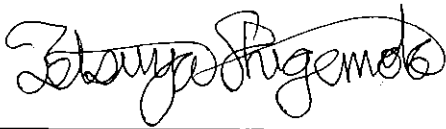
BTMU (Curacao) Holdings N.V.
MUFG Bank (Europe) N.V.
MUFG Business Services (Holland) B.V.
MUFG Funding (UK) Limited
MUFG Europe Lease (Deutschland) GmbH
MUFG Bank (Malaysia) Berhad
MUFG North America International, Inc.
MUFG Bank Mexico, S.A.
MUFG Nominees (HK) Limited
MUFG Nominees (UK) Limited
MUFG Americas Holdings Corporation
MUFG Union Bank, N.A.
Union Bank of California Leasing, Inc.
UBOC Community Development Corporation
UnionBanc Investment Services, LLC
Bankers Commercial Corporation
UnionBanCal Equities, Inc.
UnionBanCal Leasing Corporation
UnionBanCal Mortgage Corporation
Mills-Ralston, Inc.
HighMark Capital Management, Inc.
BCC OX I, Inc.
BCC OX II, Inc.
SEMA OP9 LLC
SEMA OP8 LLC
MORGANTOWN OL6 LLC
MORGANTOWN OL7 LLC
MORGANTOWN OL5 LLC
DICKERSON OL4 LLC
TRL One A, LLC
TRL One B, LLC
TRL One, LP
BM1, LLC
UB Leasing Corporation
Pacific Capital Statutory Trust I
Shiloh IV Wind Project, LLC
Shiloh IV Holdings Lessor Trust
Green Union I Trust
Green Union II Trust
Green Union III Trust
Tohlease Corporation
MUFG Americas Funding Corporation
MUFG Americas Financial & Leasing Corporation B-4
U.B. Vehicle Leasing, Inc.
MUFG Americas Leasing Corporation
MUFG Americas Financial Services, Inc.
MUFG Americas Leasing (Canada) Corporation
MUFG Americas Leasing & Finance, Inc.
MUFG Americas Capital Leasing & Finance, LLC
MUFG Americas Corporate Advisory, Inc.
MUFG Americas LF Capital LLC
MUFG Americas Capital Company
MUFG Fund Services (USA) LLC
MUFG Securities Americas Inc.
MUFG Capital Analytics LLC
MUFG Investor Services (US), LLC
Intrepid Investment Bankers LLC
Southern California Business Development Corporation
Catalina Solar Holdings Lessor Trust
Catalina Solar, LLC
Morgan Stanley MUFG Loan Partners, LLC
PT U Finance Indonesia
PT. MU Research and Consulting Indonesia
MU Research and Consulting (Thailand) Co., Ltd.
MUFG Participation (Thailand) Co., Ltd.
AO MUFG Bank (Eurasia)
MUFG Bank (China), Ltd.

BTMU Preferred Capital 8 Limited
 BTMU Preferred Capital 9 Limited
 BTMU Liquidity Reserve Investment Limited
 MUFG Bank Turkey Anonim Sirketi
 Bank of Ayudhya Public Company Limited
 Krungsri Ayudhya AMC Limited
 Krungsri Factoring Company Limited
 Ayudhya Development Leasing Company Limited
 Ayudhya Capital Auto Lease Public Company Limited
 Krungsriayudhya Card Company Limited
 General Card Services Limited
 Ayudhya Capital Services Company Limited
 Krungsri General Insurance Broker Limited
 Krungsri Life Assurance Broker Limited
 Krungsri Asset Management Company Limited
 Total Services Solutions Public Company Limited
 Ngern Tid Lor Company Limited
 Krungsri Securities Public Company Limited
 Siam Realty and Services Security Co.,Ltd.
 Krungsri Leasing Services Co., Ltd.
 Hattha Kaksekar Limited
 Krungsri Finnovate Co., Ltd.
 Tesco Card Services Limited
 BTMU Liquidity Reserve Investment 2 Limited
 BTMU Liquidity Reserve Investment 3 Limited
 PT Guna Dharma
 PT Bank Danamon Indonesia, Tbk.
 PT Adira Quantum Multifinance
 PT Adira Dinamika Multi Finance Tbk
 PT Asuransi Adira Dinamika
 BOT Lease (HK) Co., Ltd.
 PT Bumiputera - BOT Finance
 BOT Lease Holding Philippines, Inc.
 BOT Lease and Finance, Philippines, Inc.
 BOT Lease (Thailand) Co., Ltd.
 MUFG Holding (Thailand) Co., Ltd.
 Bangkok MUFG Limited
 BOT Lease(Eurasia)LLC
 BOT Lease (Tianjin) Co., Ltd.
 BOTL Factoring (Shanghai) Co., Ltd.
 BOT LEASE MEXICO S.A. DE C.V.
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.
 GOLDEN ASIA FUND VENTURES LTD.
 Lakefield Wind Project OP Trust
 Lakefield Wind Project, LLC
 Pacwind Holdings Lessor Trust
 Pacific Wind, LLC
 Vietnam Joint Stock Commercial Bank for Industry and Trade
 GOLDEN ASIA FUND II, L.P.
 Security Bank Corporation
 Mitsubishi UFJ Trust International Limited
 Mitsubishi UFJ Baillie Gifford Asset Management Limited
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.
 MUFG Lux Management Company S.A.
 Mitsubishi UFJ Investment Services (HK) Limited
 Mitsubishi UFJ Asset Management (UK) Ltd.
 LUX J1 FUND
 MUFG Investor Services Holdings Limited
 MUFG Fund Services (Bermuda) Limited
 MUFG Fund Services (Cayman) Limited
 MUFG Fund Services (Cayman) Group Limited
 MUFG Fund Services (Ireland) Limited
 Fund Secretaries Limited
 MUFG Fund Services Limited
 MUFG Fund Services (Canada) Limited
 MUFG Fund Services (UK) Limited
 MUFG Fund Services (Halifax) Limited

MUFG Fund Services (Singapore) Pte. Ltd.
 MUFG Fund Services (Hong Kong) Limited
 MUFG Alternative Fund Services (Cayman) Limited
 Firtown International Holdings Ltd.
 General Secretaries Ltd.
 AFS Controlled Subsidiary 1 Ltd.
 AFS Controlled Subsidiary 2 Ltd.
 AFS Controlled Subsidiary 3 Ltd.
 MUFG Alternative Fund Services (Ireland) Limited
 MUFG Alternative Fund Services (Jersey) Limited
 MUFG Jersey Management Company Limited
 MUFG Controlled Subsidiary 1 (Cayman) Limited
 SWS MU FUND MANAGEMENT CO.,LTD.
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED
 AMP Capital Holdings Limited
 MUFG Global Fund SICAV
 MUFG Securities EMEA plc
 MUFG Securities (Europe) N.V.
 MUFG Securities Asia (Singapore) Limited
 MUFG Securities Asia Limited
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.
 MUFG Securities (Canada), Ltd.
 EASY BUY Public Company Limited
 ACOM CONSUMER FINANCE CORPORATION
 MUFG Capital Finance 8 Limited
 MUFG Capital Finance 9 Limited
 GOLDEN ASIA FUND, L.P.
 DCS Information Technology (Shanghai) Co., Ltd
 MRIDCS Americas, Inc.
 Morgan Stanley
 Purple Finance (Cayman) International Ltd.
 Bangkok Mitsubishi UFJ Lease Co., Ltd
 The California-Sansome Corporation
 CAROL AUSTRALIA HOLDINGS PTY LIMITED (ACN 630 725 558)
 CFSGAM IP HOLDINGS PTY LIMITED (ACN 625 765 399)
 CFSGAM SERVICES PTY LTD (ACN 624 305 595)
 CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)
 CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)
 COLONIAL FIRST STATE ASSET MANAGEMENT (AUSTRALIA) LIMITED (ACN 114 194 311)
 COLONIAL FIRST STATE INFRASTRUCTURE HOLDINGS LIMITED (ACN 085 313 926)
 COLONIAL FIRST STATE INFRASTRUCTURE MANAGERS (AUSTRALIA) PTY LTD (ACN 101 384 294)
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)
 COLONIAL FIRST STATE MANAGED INFRASTRUCTURE LIMITED (ACN 006 464 428)
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)
 FIRST STATE INVESTMENT MANAGERS (ASIA) LIMITED (ACN 054 571 701)
 FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)
 FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)
 FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)
 FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)
 FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)
 FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)
 FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)
 FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)
 FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)
 FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)
 FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)
 FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)
 FSIB LTD
 REALINDEX INVESTMENTS PTY LIMITED (ACN 133 312 017)
 SI HOLDINGS LIMITED (COMPANY NO. SC109439)
 TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

Annexure B

This is annexure B of 3 pages referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

This disclosure is being made as a consequence of Mitsubishi UFJ Trust and Banking Corporation, a consolidated subsidiary of Mitsubishi UFJ Financial Group, Inc., completing its acquisition the global asset management business of Colonial First State Global Asset Management, and aggregates the trade data in respect of Mitsubishi UFJ Financial Group, Inc., Carol Australia Holdings Pty Limited and each of their respective associates.

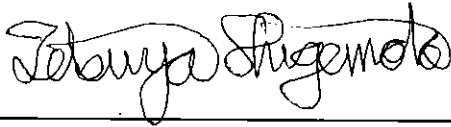
Holder of relevant Interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	110.5	N/A	170 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	185.6	N/A	290 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/04/2019	149.64	N/A	232 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/04/2019	250.24	N/A	391 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/04/2019	370.44	N/A	588 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	127.26	N/A	202 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/04/2019	237.51	N/A	377 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	331.54	N/A	548 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/04/2019	14911.97	N/A	24,845 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12/04/2019	393.6	N/A	656 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/04/2019	9003	N/A	15,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/04/2019	427.9	N/A	778 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/04/2019	1222.56	N/A	2,264 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/04/2019	559.44	N/A	1,036 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/05/2019	18058.72	N/A	34,582 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/05/2019	7.18	N/A	14 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/05/2019	485.78	N/A	908 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1/05/2019	59.23	N/A	115 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/05/2019	561.66	N/A	1,012 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2/05/2019	3181.35	N/A	6,031 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/05/2019	2625.48	N/A	4,862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/05/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	164,576 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/05/2019	95.77	N/A	179 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/05/2019	329.56	N/A	616 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/05/2019	265.65	N/A	506 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/05/2019	79	N/A	158 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9/05/2019	51	N/A	100 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	10/05/2019	5002	N/A	10,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/05/2019	0.48	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/05/2019	37.35	N/A	77 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/05/2019	37.73	N/A	77 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/05/2019	4752	N/A	10,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	88.83	N/A	189 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	1435.45	N/A	3,022 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	97.92	N/A	204 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/05/2019	245.1	N/A	516 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/05/2019	193.5	N/A	430 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/05/2019	10.44	N/A	24 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/05/2019	1167.45	N/A	2,715 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/05/2019	1716.91	N/A	3,653 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/05/2019	76.32	N/A	159 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/05/2019	11764.18	N/A	26,131 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/05/2019	49.28	N/A	112 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/05/2019	1449.41	N/A	3,117 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/05/2019	61.86	N/A	139 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/05/2019	371.13	N/A	834 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/05/2019	1791.45	N/A	3,981 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/05/2019	359.74	N/A	795 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/05/2019	1705.44	N/A	3,876 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28/05/2019	4471.56	N/A	12,421 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30/05/2019	8713.95	N/A	21,774 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2019	1399.41	N/A	3,834 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/06/2019	1899.72	N/A	5,277 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	782.93	N/A	2,409 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	403.59	N/A	1,223 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/06/2019	623.04	N/A	1,947 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18/07/2019	116599.5	N/A	215,925 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	6026.4	N/A	9,720 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	1331.76	N/A	2,148 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	154.38	N/A	248 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/07/2019	1301.07	N/A	2,107 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	1475.59	N/A	2,419 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	4472.9	N/A	7,273 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	730.78	N/A	1,198 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/07/2019	2525.34	N/A	4,123 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25/07/2019	4456.95	N/A	6,910 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	25/07/2019	1858.01	N/A	2,926 Ordinary Shares
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Annexure C

This is annexure C of 1 page referred to in Form 603, Notice of initial substantial holder dated 8 August 2019



Tetsuya Shigemoto

Authorised signatory

Dated 8 August 2019

The above schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

Schedule	
Type of Agreement	Global Master Securities Lending Agreement
Parties to agreement	Morgan Stanley & Co. International plc and SPECIFIED MONEY TRUST FUND NO. 400076241 FOR SUBARU CORPORATION ENTRUSTED TO THE MASTER TRUST BANK OF JAPAN, LTD.
Transfer Date	20190611; 20190612; 20190613; 20190614; 20190617; 20190621; 20190708; 20190709; 20190711; 20190715; 20190717; 20190730; 20190801; 20190802;
Holder of Voting Rights	Borrower
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	The Borrower is entitled at any time to terminate a Loan and to redeliver all and any Equivalent Securities due and outstanding to the Lender in accordance with the Lender's instructions.
Does the lender have the right to recall early?	Yes/No
If yes, detail	The Lender is entitled to terminate a Loan and to call for the redelivery of all or any Equivalent Securities at any time by giving notice on any Business Day of not less than the standard settlement time for such Equivalent Securities on the exchange or in the clearing organisation through which the Loaned Securities were originally delivered.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	If the Borrower does not redeliver Equivalent Securities in accordance with the Agreement, the Lender may by written notice to Borrower terminate the Loan forthwith and the Parties' delivery and payment obligations in respect thereof.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and AUSBIL INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR AUSBIL GLOBAL RESOURCES FUND
Transfer Date	20190802;
Holder of Voting Rights	If prime broker has settled a short sale for the client, voting rights will pass to the purchaser of the securities.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	At any time the client may return to the prime broker shares which the client previously sold short.
Does the lender have the right to recall early?	Yes/No
If yes, detail	The prime broker may require the client to return shares delivered on behalf of the client at any time.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.